## Service Risk Register – Environmental Services Generated on: 28 July 2010



<b>Environmental Services</b>		
Facilities	Health & Environment	Streetscene
	3 5 1	Impact  1  1
Likelihood	Likelihood	Likelihood

Key					
Risk Sta	atus	Action Status			
<b>&gt;</b>	ОК		Cancelled		
	Warning		Overdue		
•	Alert		Check Progress		
?	Unknown		In Progress		
			Completed		

Status	Code	Title	Status	Code	Title
<b>②</b>	FE 01	Sale/purchase of property at incorrect values and asset valuations not shown at true balance on balance sheet	<b>②</b>	HE 05	Contaminated Land.
	FE 02	Failure to adhere to correct procedures in Landlord and Tenant matters.	<b>Ø</b>	HE 06	Health & Safety Enforcement
<b>②</b>	FE 03	Failure in carrying out rent and lease reviews.		HE 07	MVM Software and e-government developments
	FE 04	Capital projects		HE 09	Food Safety
	FE 05	Street Lighting - knockdowns	<b>Ø</b>	HE 10	Local Air Quality Management (LAQM)-Air Quality Review and Assessment
	FE 06	Street Lighting		SS 01	Waste Management (recycling & refuse collection)
	FE 07	Failure to regularly inspect the condition of all buildings		SS 02	Failure to provide a collection service for Trade Waste.
	FE 08	Property Unit – team member leaving		SS 03	Failure to provide a street cleaning service.
<b>②</b>	FE 09	Street naming & numbering – incorrectly addressed	<b>②</b>	SS 04	Failure to ensure that Taxi's are appropriately licensed.
<b>②</b>	FE 10	Property Unit – inadequate training	<b>②</b>	SS 05	Failure to secure and administer 'minor contracts'
$\triangle$	HE 01	Inadequate capacity to respond to major incident	<b>②</b>	SS 06	Failure to maintain vehicles.
	HE 02	Inadequate staffing capacity to respond to the existing commitments and government initiatives on sport, play and leisure	<b>②</b>	SS 07	failure to comply with Health & Safety
<b>Ø</b>	HE 03	Recruitment & Retention of staff		SS 08	Depot security is compromised.
	HE 04	Private Water Supply regulations			

FE 01 S	E 01 Sale/purchase of property at incorrect values and asset valuations not shown at true balance on balance sheet								
Risk Code	Risk Title	Description			Status				
FE 01	Sale/purchase of property at incorn values and asset valuations not show true balance on balance sheet	wn at true balance on bala	operty at incorrect val ince sheet	ns not shown at					
Consequ	uences	Financial cost to the	Council and breach o	f S123 Local Gov Act.					
	Current Risk Matrix		Current Ratin	g Description		Last Review Date			
			В		2				
	Likelihood	Current Impact	Minor	Current Likelihood	Not Likely	28 May 2009			
	Target Risk Matrix		Target Rating Description						
			В		1				
	Discourse of the state of the s	Target Impact	Minor	Target Likelihood	Very Low	Phil Long			
Risk Act	Risk Actions								
Status	Code Title	Description	<b>Progress Bar</b>	Due Date	Lead	Latest Note			

FE 02 Fa	E 02 Failure to adhere to correct procedures in Landlord and Tenant matters.								
Risk Code	Risk Title	Description			Status				
FE 02	Failure to adhere to correct proced in Landlord and Tenant matters		correct procedures in	matters.	<b>②</b>				
Consequ	uences	Not obtaining vacan	t possession of prope	ties when required.					
	<b>Current Risk Matrix</b>		<b>Current Ratin</b>	g Description		Last Review Date			
	Likelihood	Current Impact	C Medium	Current Likelihood	1 Very Low	- 28 May 2009			
	Target Risk Matrix		Target Rating Description						
	Likelihood	Target Impact	C Medium	Target Likelihood	Very Low	Phil Long			
Risk Act			1	1		1			
Status	Code Title	Description	Progress Bar	Due Date	Lead	Latest Note			

FE 03 F	E 03 Failure in carrying out rent and lease reviews.								
Risk Code	Risl	k Title	Description			Status			
FE 03	· ·	g out rent and lease views.	Failure in carrying ou	it rent and lease revie		<b>②</b>			
Consequ	uences		Not maximising renta	al income					
	<b>Current Risk</b>	Matrix		<b>Current Ratin</b>	g Description		Last Review Date		
				С		1			
	Likelihood		Current Impact	Medium	Current Likelihood	Very Low	28 May 2009		
	Target Risk	Matrix		SMT Lead					
				С		1			
Likelihood			Target Impact	Medium	Target Likelihood	Very Low	Phil Long		
Risk Act					!		-		
Status Code Title Description Progress Bar Due Date Lead					Lead	Latest Note			

FE 04 C	apital projects									
Risk Code	Ris	sk Title	Description	escription						
FE 04	Capit	al projects	Capital projects	apital projects						
Consequ	<b>Jences</b>		Failure to implement	and complete within	specified dates. Excee	ed budget. Reputation	on			
	Current Risl	k Matrix		Current Ratin	g Description		Last Review Date			
				В		2				
Likelihood		Current Impact	Minor	Current Likelihood	Not Likely	28 May 2009				
	Target Risk	Matrix		SMT Lead						
				С		1				
Impact		Target Impact	Medium	Target Likelihood	Very Low	Phil Long				
Dick Act	Likelihood Likelinood									
Risk Actions Status Code Title Description Progress Bar Due Date Lead					Lead	Latest Note				
Status	Code		Description	i rogress bar	Due Dute	LCuu	Lucest Hote			

FE 05 Street Lighting - knockdowns								
Risk Code	Ris	sk Title	Description			Status		
FE 05 Street Lighting - knockdowns Street Lighting - knockdowns								
Consequ	onsequences Exposure of public to risk of electrocution. Health & Safety							
	<b>Current Ris</b>	k Matrix		Current Ratin	g Description		Last Review Date	
	Current Impact  Likelihood			Current Likelihood	1 Very Low	28 May 2009		
	Target Risk	Matrix		SMT Lead				
	o act		Target Impact	D Major	Target Likelihood	2 Not Likely	Phil Long	
	E Likelihoo	d		Tiejo:		inot Likely		
Risk Act	tions							
Status	Code	Title	Description	Progress Bar	Due Date	Lead	Latest Note	
	RiskA FE 05.01	monitor any in hour knock downs to ensure prompt action taken		100%	31 Mar 2010	Phil Long	OK on going monitoring	
	RiskA FE 05.02	Monitor any knockdowns to ensure that there are no in or out of hours issues with the authorities' response		25%	31 Mar 2010	Phil Long	OK on going monitoring	

FE 06 St	treet Lighting								
Risk Code	Ris	sk Title	Description			Status			
FE 06	Stree	et Lighting							
Consequ	iences		Possibility of column	collapse					
	Current Ris	k Matrix		Current Ratin	g Description		Last Review Date		
				С		1			
Likelihood		Current Impact	Medium	Current Likelihood	Very Low	01 Jun 2009			
	Target Risk	Matrix		SMT Lead					
				С		1			
Tikelihood		Target Impact	Medium	Target Likelihood	Very Low	Phil Long			
Risk Act					1	1	1		
Status		Title	Description	Progress Bar	Due Date	Lead	Latest Note		

FE 07 F	ailure to regularly inspect the conditio	n of all buildings				
Risk Code	Risk Title	Description			Status	
FE 07	Failure to regularly inspect the condition of all buildings				<b>②</b>	
Conseq	uences	Health & safety and	legal implications			
	<b>Current Risk Matrix</b>		<b>Current Ratin</b>	g Description		Last Review Date
			С		1	
	Likelihood	Current Impact	Medium	Current Likelihood	Very Low	01 Jun 2009
	Target Risk Matrix		SMT Lead			
			С		1	
	Likelihood	Target Impact	Medium	Target Likelihood	Very Low	Phil Long
Risk Ac						
Status		Description	Progress Bar	Due Date	Lead	Latest Note
		-	_			

E 08 Pi	roperty Unit – team member leaving						
Risk Code	Risk Title	Description			Status		
FE 08	Property Unit – team member leaving				<b>②</b>		
Consequ	iences	Backlog of work / fa	ilure in delivery of ser	vice		•	
	Current Risk Matrix		Current Ratin	g Description		Last Review Date	
			С		1		
Likelihood		Current Impact	Medium	Current Likelihood	Very Low	01 Jun 2009	
	Target Risk Matrix		Target Rating Description				
			С		1		
Impact		Target Impact	Medium	Target Likelihood	Very Low	Phil Long	
Risk Act	Likelihood						
Status		Description	Description Progress Bar Due Date Lead				
Status	Code Title	Description	Flogicss bal	Due Date	Leau	Latest Note	

FE 09 S	E 09 Street naming & numbering – incorrectly addressed								
Risk Code	Risk	Title	Description			Status			
FE 09		mbering – incorrectly essed	enter risk details h	nere		<b>②</b>			
Consequ	uences		Reputation / financia	I					
	Current Risk	Matrix		Current Rating	g Description		Last Review Date		
	Likelihood		Current Impact	C Medium	Current Likelihood	1 Very Low	01 Jun 2009		
	Target Risk N	Matrix		SMT Lead					
Likelihood			Target Impact		Target Likelihood		Phil Long		
Risk Act	tions						•		
Status	Code	itle	Description	Progress Bar	Due Date	Lead	Latest Note		

FE 10 P	roperty Unit – ina	adequate training					
Risk Code	Ris	sk Title	Description				Status
FE 10	Property Unit –	inadequate training					<b>Ø</b>
Consequ	uences		Not keeping with up	to date with legislatio	n etc resulting in losin	ng professional quali	fication
	Current Risl	k Matrix		Current Ratin	g Description		Last Review Date
				A		2	
	Likelihood		Current Impact	Low	Current Likelihood	Not Likely	01 Jun 2009
	Target Risk	Matrix		SMT Lead			
	Likelihoo	d	Target Impact		Target Likelihood		Phil Long
Risk Act	tions						
Status	Code	Title	Description	Progress Bar	Due Date	Lead	Latest Note

HE 01 I	nadequate capaci	ty to respond to maj	or incident				
Risk Code	Ris	k Title	Description				Status
HE 01		ty to respond to major cident			ncident e.g. food poiso legislation e.g. Private		
Conseq	uences						f the section-Inadequate skills external inspectors/agencies
	<b>Current Risk</b>	( Matrix		Current Rating	g Description		Last Review Date
				В		3	
	Likelihood		Current Impact	Minor	Current Likelihood	Likely	11 Jun 2009
	Target Risk	Matrix		SMT Lead			
				A		1	
	Likelihood		Target Impact	Low	Target Likelihood	Very Low	Phil Long
Risk Ac	tions						
Status	Code	Title	Description	Progress Bar	Due Date	Lead	Latest Note
<b>Ø</b>	RiskA HE 01.01	In eventuality re prioritisation of work by SUM and potential of assistance from Partnering Authorities		100%	31 Mar 2010	Phil Long; Phil Long	The unit is undergoing a review currently. Outcomes could have the potential to effect the risk score

HE 02 I	02 Inadequate staffing capacity to respond to the existing commitments and government initiatives on sport, play and leisure									
Risk Code	Ris	k Title	Description				Status			
HE 02	HE 02 Inadequate staffing capacity to respond to the existing commitments and government initiatives on sport, play and leisure									
Conseq	uences				me-Inadequate skills a nitiatives in play and s		re to capitalize on financial			
	<b>Current Risk</b>	( Matrix		<b>Current Ratin</b>	g Description		Last Review Date			
				В		2				
	Likelihood	1	Current Impact	Minor	Current Likelihood	Not Likely	11 Jun 2009			
	Target Risk	Matrix		SMT Lead						
I ikedihad			Target Impact	Low	Target Likelihood	1 Very Low	Phil Long			
Risk Ac	tions									
Status Code Title			Description	Progress Bar	Due Date	Lead	Latest Note			

HE 03 R	Recruitment & Retention of sta	aff					
Risk Code	Risk Title	Description				Status	
HE 03	Recruitment & Retention of	staff					
Consequ	uences					ouse prices and national gislative requirements.	
	Current Risk Matrix		Current Ratin	g Description		Last Review Date	
			В		2	]	
	Likelihood	Current Impact	Minor	Current Likelihood	Not Likely	11 Jun 2009	
	Target Risk Matrix		Target Rating Description				
			Α		1	]	
	Likelihood	Target Impact	Low	Target Likelihood	Very Low	Phil Long	
Risk Act	tions	!					
Status	Code Title	Description	<b>Progress Bar</b>	Due Date	Lead	Latest Note	

HE 04 Pi	rivate Water Suppl	ly regulations					
Risk Code	Risk	Title	Description				Status
HE 04	Private Water Su	upply regulations					<b>⊘</b>
Consequ	iences		Non compliance with	statutory duty			
	Current Risk I	Matrix		Current Ratin	g Description		Last Review Date
				С		1	
Likelihood			Current Impact	Medium	Current Likelihood	Very Low	11 Jun 2009
	Target Risk M	Matrix		SMT Lead			
				С		1	
Likelihood			Target Impact	Medium	Target Likelihood	Very Low	Phil Long
Risk Act							
Status	Code	itle	Description	Progress Bar	Due Date	Lead	Latest Note

IE 05 C	Contaminated Land	ı						
Risk Code	Risl	k Title	Description				Status	
HE 05	Contamir	nated Land.	Requirement to iden where remediation o		f potential concern and	d number of sites	5	
Consequ	uences				rategy and limit the C vith Selby now ended.		hilure to provide funding for by CS&L 31/1/08.	
	<b>Current Risk</b>	Matrix		<b>Current Ratin</b>	g Description		Last Review Date	
				В		2		
	tikelihood		Current Impact	Minor	Current Likelihood	Not Likely	11 Jun 2009	
	Target Risk	Matrix		Target Rating Description				
				В		1		
Likelihood			Target Impact	Minor	Target Likelihood	Very Low	Phil Long	
lisk Act								
Status	Code	Γitle	Description	Progress Bar	Due Date	Lead	Latest Note	
			-			-		

HE 06 H	lealth & Safety Enfor	cement					
Risk Code	Risk Ti	itle	Description				Status
HE 06	Health & Safety	Enforcement					
Consequ	uences						rements published and needs O Quality Management System
	Current Risk Ma	ntrix		Current Rating	g Description		Last Review Date
Likelihood			Current Impact	C Medium	Current Likelihood	1 Very Low	11 Jun 2009
	Target Risk Ma	trix		SMT Lead			
Likelihood		Target Impact	C Medium	Target Likelihood	1 Very Low	Phil Long	
Risk Act	tions			!			
Status	Code Title	e	Description	Progress Bar	Due Date	Lead	Latest Note

HE 07 M	IVM Software and e-government dev	elopments					
Risk Code	Risk Title	Description				Status	
HE 07	MVM Software and e-government developments					<b>②</b>	
Consequ	uences					ed in October 2005 Next step is tions e.g. mobile working etc	
	Current Risk Matrix		<b>Current Ratin</b>	g Description		Last Review Date	
			В		2		
	Likelihood	Current Impact	Minor	Current Likelihood	Not Likely	11 Jun 2009	
	Target Risk Matrix		Target Rating Description				
			В		1		
	Likelihood	Target Impact	Minor	Target Likelihood	Very Low	Phil Long	
Risk Act							
Status		Description	Description Progress Bar Due Date Lead			Latest Note	
		·					

HE 09 F	ood Safety						
Risk Code	Ri	sk Title	Description				Status
HE 09	Foc	od Safety	(a) Implementation (b) Introduction of S		ractice and Monitoring	system (LAMES)	<b>②</b>
Consequ	uences		Consequent scrutiny	of FSA			
	<b>Current Ris</b>	k Matrix		<b>Current Ratin</b>	g Description		Last Review Date
				В		2	
	Likelihood		Current Impact	Minor	Current Likelihood	Not Likely	11 Jun 2009
	Target Risk	( Matrix		SMT Lead			
				A		1	
Impact			Target Impact	Low	Target Likelihood	Very Low	Phil Long
	Likelihoo	d					
Risk Act							
Status	Code	Title	Description	Progress Bar	Due Date	Lead	Latest Note

HE 10 L	ocal Air Quality Management (LAQM)	Air Quality Review	and Assessment			
Risk Code	Risk Title	Description				Status
HE 10 Local Air Quality Management (LAQM)- Air Quality Review and Assessment						<b>②</b>
Consequ	uences					and /or Declare Air Quality o provide explanation to Minister
	Current Risk Matrix		Current Ratin	g Description		Last Review Date
			С		1	
	Likelihood	Current Impact	Medium	Current Likelihood	Very Low	11 Jun 2009
	Target Risk Matrix		SMT Lead			
			В		1	
	Likelihood	Target Impact	Minor	Target Likelihood	Very Low	Phil Long
Risk Act						
Status		Description	Progress Bar	Due Date	Lead	Latest Note

SS 01 W	Vaste Managemei	nt (recycling & refuse	collection)				
Risk Code	Ris	sk Title	Description				Status
SS 01	Waste Manageme col						
Consequ	uences		Failure to collect refu Removal of service for businesses fail	use to 23K households rom RDC. Possible re	s resulting in adverse   moval of funding. Dif	publicity/environme ficulties in marketin	ntal public health issues. g recyclable products if
	<b>Current Risl</b>	k Matrix		<b>Current Ratin</b>	g Description		Last Review Date
	Discontinuo di Likelihood	d	Current Impact	C Medium	Current Likelihood Very Low		11 Jun 2009
	Target Risk	Matrix		Target Rating	Description		SMT Lead
Likelihood			Target Impact	C Medium	Target Likelihood	1 Very Low	Phil Long
Risk Act	tions						
Status	Code	Title	Description	Progress Bar	Due Date	Lead	Latest Note

SS 02 F	ailure to provide	a collection service fo	or Trade Waste.				
Risk Code	Ris	k Title	Description				Status
SS 02	Failure to provide Trad	liabilities ng market. (NB )					
Conseq	uences		Loss of revenue. Los implications.	s of customers. Poten	tial increase in cost re	domestic waste co	llection. Revenue budget
	Current Risk	( Matrix		Current Ratin	g Description		Last Review Date
				С		1	
	Likelihood		Current Impact	Medium	Current Likelihood	Very Low	11 Jun 2009
	Target Risk	Matrix		SMT Lead			
				С		1	
Likelihood			Target Impact	Medium	Target Likelihood	Very Low	Phil Long
Risk Ac		1				<u> </u>	
Status		 Title	Description Progress Bar Due Date Lead		Latest Note		

SS 03 Failure to provide a street cleaning service.									
Risk Code	Risk Title	Description	Description						
SS 03	Failure to provide a street cleaning service.				<b>⊘</b>				
Consequ	iences		Court Action under Environment Protection Act. Adverse publicity. Environmental/public health issues if service not carried out. Reputation of the Council						
	Current Risk Matrix		Current Ratin	g Description		Last Review Date			
			С		1				
Likelihood		Current Impact	Medium	Current Likelihood	Very Low	11 Jun 2009			
	Target Risk Matrix		SMT Lead						
			С		1				
	<u>mbact</u>	Target Impact	Medium	Target Likelihood	Very Low	Phil Long			
Dick Act	Likelihood  Risk Actions								
Status		Description	Progress Bar	Due Date	Lead	Latest Note			
Status	Title	Description	riogiess bai	Due Date	Leau	Latest Note			

SS 04 Failure to ensure that Taxi's are appropriately licensed.									
Risk Code	Ris	sk Title	Description	Status					
SS 04	Failure to ensure that Taxi's are appropriately licensed.						<b>②</b>		
Consequ	uences		Public not protected	- physically/financiall	y. Trade unregulated	- no control on fees	s charges/vehicle safety.		
	<b>Current Risl</b>	( Matrix		Current Ratin	g Description		Last Review Date		
Impact Specification of the second se			Current Impact	C Medium	Current Likelihood	Very Low	- 11 Jun 2009		
	Target Risk	Matrix		SMT Lead					
				С		1			
l mbact			Target Impact	Medium	Target Likelihood	Very Low	Phil Long		
Risk Act	Likelihood  Risk Actions								
Status		Title	Description	Progress Bar	Due Date	Lead	Latest Note		

SS 05 Failure to secure and administer 'minor contracts'									
Risk Code	Ris	sk Title	Description	Status					
SS 05		and administer 'minor ntracts'			<b>②</b>				
Consequ	uences		Loss of revenue. Los	ss of manpower.					
	<b>Current Risl</b>	k Matrix		<b>Current Ratin</b>	g Description		Last Review Date		
				А		2			
Likelihood			Current Impact	Low	Current Likelihood	Not Likely	11 Jun 2009		
	Target Risk	Matrix		SMT Lead					
				А		1			
Impact			Target Impact	Low	Target Likelihood	Very Low	Phil Long		
Diels Ast	Likelihood Likelihood								
	Risk Actions								
Status	Code	Title	Description	Progress Bar	Due Date	Lead	Latest Note		

SS 06 Failure to maintain vehicles.									
Risk Code	Ris	sk Title	Description	Status					
SS 06	Failure to m	Failure to maintain vehicles. Failure to comply with requirements of O licence.							
				HSE enforcement action. Operator's licence withdrawn. Potentially no services – de manslaughter charges.					
	Current Risl	k Matrix		Current Ratin	g Description		Last Review Date		
				С		1			
Likelihood			Current Impact	Medium	Current Likelihood	Very Low	11 Jun 2009		
	Target Risk	Matrix		SMT Lead					
Likelihood			Target Impact	C Medium	Target Likelihood	Very Low	Phil Long		
Risk Act	Risk Actions								
Status		Title	Description	Progress Bar	Due Date	Lead	Latest Note		

SS 07 failure to comply with Health & Safety									
Risk Code	Risk Title	Description	Description						
SS 07	Failure to comply with Health & Safe	ety							
Consequ	iences	Injury to staff. Litig	ation. Reputation to	the Council. Financial	cost.	•			
	Current Risk Matrix		<b>Current Ratin</b>	g Description		Last Review Date			
			С		1				
Likelihood		Current Impact	Medium	Current Likelihood	Very Low	11 Jun 2009			
	Target Risk Matrix		Target Rating Description						
			С		1				
	<u>ubact</u>	Target Impact	Medium	Target Likelihood	Very Low	Phil Long			
Diek Act	Likelihood  Lisk Actions								
Status		Description	Progress Bar	Due Date	Lead	Latest Note			
	1100	3 000 i paren							

SS 08 Depot security is compromised.									
Risk Code	Ris	sk Title	Description	Status					
SS 08 Depot security is compromised.									
Consequ	Consequences		Stolen of damaged g	oods/property. Insur	ance costs.				
	Current Ris	k Matrix		Current Rating	g Description		Last Review Date		
Likelihadt			Current Impact	C Medium	Current Likelihood	2 Not Likely	11 Jun 2009		
	Target Risk	Matrix		SMT Lead					
In pact and the second			Target Impact	C Medium	Target Likelihood	1 Very Low	Phil Long		
Risk Ac	Risk Actions								
Status	Status Code Title		Description	Progress Bar	Due Date	Lead	Latest Note		
<b>Ø</b>	RiskA SS 08.01	investigated. A new	Increased CCTV coverage or new fence at back of depot	100%	31 Mar 2010	Phil Long	As all security requirements complete status downgraded to not likely		